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12-30-03 2681

Docket No.: SONY 3.0-022
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Ludtke et al.

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Application No.: 10/014,960

DEC 19 2003 Group Art Unit: 2681

Filed: December 11, 2001

GROUP 3600

For: METHOD OR SYSTEM FOR EXECUTING
DEFERRED TRANSACTIONS

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Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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Technology Center 2600

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

It is respectfully requested that the references listed on the enclosed form be made of record and considered with respect to the above-referenced U.S. patent application. A copy of each reference is enclosed. Submission of the present Information Disclosure Statement should not be taken as an admission that the cited references are legally available prior art or that the same are pertinent or material.

In the event that any fee is due in connection with the present Information Disclosure Statement, the Commissioner is hereby authorized to charge the same to our Deposit Account No. 12-1095.

Dated: October 31, 2003

Respectfully submitted,

By

Matthew B. Dernier

Registration No.: 40,989

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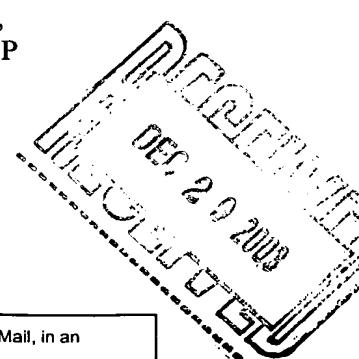
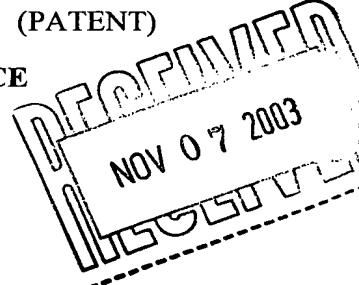
Westfield, New Jersey 07090

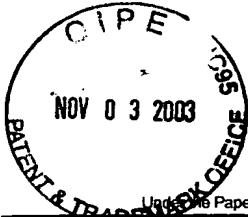
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PTO/SB/08a/b (08-03)

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Substitute for form 1449A/B/PTO				Complete If Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>				Application Number	10/014,960
Sheet	1	of	1	Filing Date	December 11, 2001
				First Named Inventor	Harold A. Ludtke
				Art Unit	2681
				Examiner Name	Not Yet Assigned
					Technology Center 2000
				Attorney Docket Number	SONY 3.0-022

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U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
AA		US-5,815,665	09-29-1998	Teper et al.	
AB		US-5,878,139	03-02-1999	Rosen	
AC		US-6,529,885	03-04-2003	Johnson	
AD		US-6,047,268	04-04-2000	Bartoli et al.	

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FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
BA		TW-87107650	02-01-1999	Ritter	**

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NON PATENT LITERATURE DOCUMENTS					
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